

Chapter 5. Global and Local Metrics

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- 14 • *Why did Einstein take seven years to go from special relativity to general*
- 15 *relativity?*
- 16 • *Why are so many different kinds of flat maps used to plot Earth's curved*
- 17 *surface?*
- 18 • *Why use coordinates at all? Why not just measure distances directly, say*
- 19 *with a ruler?*
- 20 • *Why does the spacetime metric use differentials?*
- 21 • *Are Schwarzschild global coordinates the only way to describe spacetime*
- 22 *around a black hole?*

CHAPTER

5

Global and Local Metrics

Edmund Bertschinger & Edwin F. Taylor *

The basic demand of the special theory of relativity (invariance of the laws under Lorentz-transformations) is too narrow, i.e., that an invariance of the laws must be postulated relative to nonlinear transformations for the co-ordinates in the four-dimensional continuum.

*This happened in 1908. Why were another seven years required for the construction of the general theory of relativity? **The main reason lies in the fact that it is not so easy to free oneself from the idea that coordinates must have an immediate metrical meaning.***

—Albert Einstein [boldface added]

5.1 ■ EINSTEIN'S PERPLEXITY

Why seven years between special relativity and general relativity?

Einstein's
seven-year
puzzle

It took Albert Einstein seven years to solve the puzzle compressed into the two-paragraph quotation above. The first paragraph complains that special relativity (with its restriction to flat spacetime coordinates) is too narrow. Einstein demands that a *nonlinear* coordinate system—that is, one that is *arbitrarily stretched*—should also be legal. *Nonlinear* means that it can be stretched by different amounts in different locations.

Stretch
coordinates
arbitrarily.

In the second paragraph, Einstein explains his seven-year problem: He tried to apply to a stretched coordinate system the same rules used in special relativity. Einstein's phrase **immediate metrical meaning** describes something that can be measured directly—for example, the radar-measured distance between the top of the Eiffel Tower and the Paris Opera building. Einstein says that since we can use nonlinear stretched coordinates, these coordinate separations need not be something we can measure directly, for example with a ruler.

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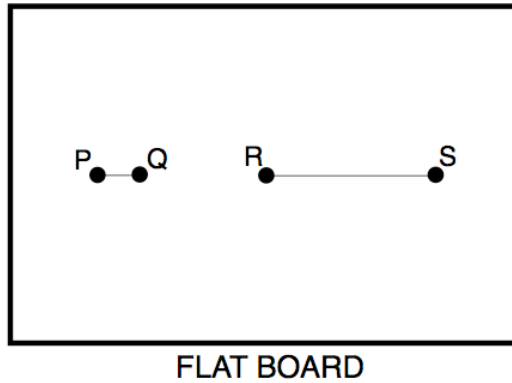


FIGURE 1 Compare distances between two different pairs of points on a flat wooden cutting board. First measure with a ruler the distance between the pair of points P and Q. Then measure the distance between the pair of points R and S. Measured distance PQ is *smaller* than the measured distance RS. We require no coordinate system whatsoever to verify this inequality; we measure distances directly on a flat surface.

Solving Einstein's puzzle leads to the global metric.

51 What is the relation between the coordinate separations between two
 52 points and the directly-measured distance between those two points? How
 53 does this distinction affect predictions of special and general relativity?
 54 Answering these questions reveals the unmeasurable nature of global
 55 coordinate separations, but nevertheless the central role of the *global metric* in
 56 connecting different local inertial frames in which we carry out measurements.

5.2. ■ EINSTEIN'S PERPLEXITY ON A WOODEN CUTTING BOARD

58 *Move beyond high school geometry and trigonometry!*

Simplify: From curved spacetime to a flat cutting board.

59 We transfer Einstein's puzzle from spacetime to space and—to simplify
 60 further—measure the distance between two points on the flat surface of a
 61 wooden cutting board (Figure 1).

Measure distance directly, with a ruler.

62 A pair of points, P and Q, lie near to one another on the surface. A second
 63 pair of points, R and S, are farther apart than points P and Q. How do we
 64 know that distance RS is greater than distance PQ? We measure the two
 65 distances directly, with a ruler. To ensure accuracy, we borrow a ruler from the
 66 local branch of the National Institute of Standards and Technology. Sure
 67 enough, with our official centimeter-scale ruler we verify distance RS to be
 68 greater than distance PQ. *We do not need any coordinate system whatsoever*
 69 *to measure distance PQ or distance RS or to compare these distances on a flat*
 70 *surface.*

Difference in Cartesian coordinates verifies difference in distances.

71 Next, apply coordinates to the flat surface. Do not draw coordinate lines
 72 directly on the cutting board; instead spread a fishnet over it (Figure 2). When
 73 we first lay down the fishnet, its narrow strings look like Cartesian square
 74 coordinate lines. Adjacent strings are one centimeter apart. The *x*-coordinate
 75 separation between P and Q is 1 centimeter, and the *x*-coordinate separation

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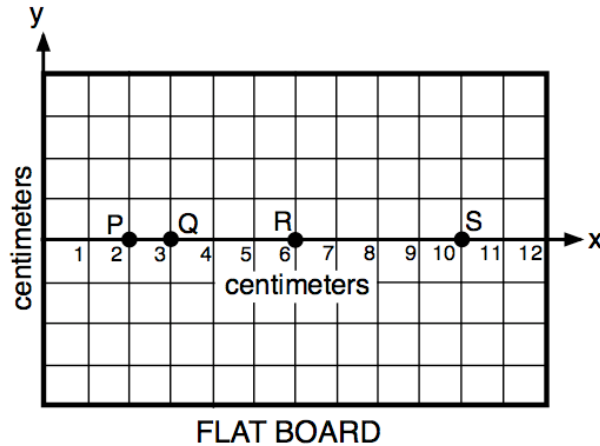


FIGURE 2 A fishnet with one-centimeter separations covers the wooden cutting board. Expressed in these coordinates, the coordinate separation PQ is 1 centimeter, while the coordinate separation RS is 4 centimeters. In this case a coordinate separation *does* have “an immediate metrical meaning” in Einstein’s phrase. *Interpretation:* In this case we can derive from coordinate separations the values of directly-measured distances.

Stretch fishnet by variable amounts in x -direction.

“Stretch” coordinate separation not equal to measured distance.

Stretch coordinates form a legal map.

76 between R and S is 4 centimeters, confirming the inequality in our direct
 77 distance measurements. In this case each difference (or separation) in
 78 Cartesian coordinates, PQ and RS, *does* have “an immediate metrical
 79 meaning;” in other words, it corresponds to the *directly-measured distance*.

80 Moving ahead, suppose that instead of string, we make the fishnet out of
 81 rubber bands. As we lay the rubber band fishnet loosely on the cutting board,
 82 we do something apparently screwy: As we tack down the fishnet, we stretch it
 83 along the x -direction by different amounts at different horizontal positions.
 84 Figure 3 shows the resulting “stretch” coordinates along the x -direction.

85 Now check the x -coordinate difference between P and Q in Figure 3, a
 86 difference that we call Δx_{PQ} . Then $\Delta x_{PQ} = 5 - 2 = 3$. Compare this with the
 87 x -coordinate separation between R and S: $\Delta x_{RS} = 10 - 9 = 1$. Lo and behold,
 88 the coordinate separation Δx_{PQ} is *greater* than the coordinate separation
 89 Δx_{RS} , even though our directly-measured distance PQ is *less* than the
 90 distance RS. This contradiction is the simplest example we can find of the
 91 great truth that Einstein grasped after seven years of struggle: *coordinate*
 92 *separations need not be directly measurable*.

93 “No fair!” you shout. “You can’t just move coordinate lines around
 94 arbitrarily like that.” Oh yes we can. Who is to prevent us? Any coordinate
 95 system constitutes a **map**. What is a map? Applied to our cutting board, a
 96 map is simply a rule for assigning numbers that uniquely specify the location
 97 of every individual point on the surface. Our coordinate system in Figure 3
 98 does that job nicely; it is a legal and legitimate map. However, the amount of
 99 stretching—what we call the **map scale**—varies along the x -direction.

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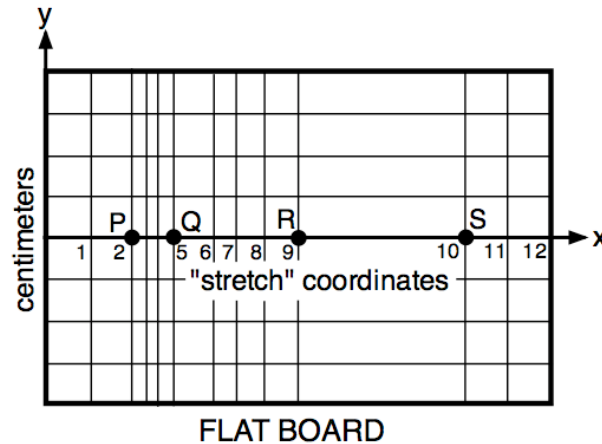


FIGURE 3 Global coordinate system that covers our entire cutting board, but in this case made with a rubber fishnet tacked down so as to stretch the x separation of fishnet cords by different amounts at different locations along the horizontal direction. The coordinate separation $\Delta x_{PQ} = 3$ between points P and Q is greater than the coordinate separation $\Delta x_{RS} = 1$ between points R and S, even though the measured *distances* between each of these pairs show the reverse inequality. Einstein was right: In this case coordinate separations do *not* have “an immediate metrical meaning;” in other words, coordinate separations do *not* tell us the values of directly-measured distances.

100 Of course, for convenience we usually *choose* the map scale to be
 101 everywhere uniform, as displayed in Figure 2. This choice is perfectly legal. We
 102 call this legality of Cartesian coordinates Assertion 1:

**Assertion 1 for a
 FLAT SURFACE:
 CAN draw map with
 everywhere-uniform
 map scale.**

103 **Assertion 1. ON A FLAT SURFACE IN SPACE, we CAN FIND a global**
 104 **coordinate system such that every coordinate separation IS a**
 105 **directly-measured distance.**

106 Standard Cartesian (x, y) coordinates allow us to use the power of the
 107 Pythagorean Theorem to predict the directly-measured distance s between two
 108 points anywhere on the board in Figure 2:

$$\Delta s^2 = \Delta x^2 + \Delta y^2 \quad (\text{flat surface: Choose Cartesian coordinates.}) \quad (1)$$

Cartesian separations:
 Pythagoras works!

109 The coordinate separations Δx and Δy and the resulting measured distance
 110 Δs can be as small or as large as we want, as long as the map scale is uniform
 111 everywhere on the flat cutting board.

112 In contrast, we *cannot* apply the Pythagorean Theorem using the
 113 “stretch” coordinates in Figure 3 to find the distance between a pair of points
 114 that are far apart in the x -direction. Why not? Because a large separation
 115 between two points can span regions where the map scale varies noticeably,
 116 that is, where rubber bands stretch by substantially different amounts. For
 117 example in Figure 3, the x -coordinate separation between points Q and S on

Section 5.3 Global space metric for a flat surface **5-5**

Stretch coordinates:
Pythagoras fails
on a flat surface.

118 the flat surface is $\Delta x_{QS} = 5$, whereas points P and S have a much greater
119 x -coordinate separation: $\Delta x_{PS} = 8$. This is true even though the
120 directly-measured *distance* between P and S is only slightly greater than the
121 directly-measured *distance* between Q and S.

122 Stretched-fishnet coordinates of Figure 3, provide a case in which the
123 Pythagorean Theorem (1) gives incorrect answers—coordinate separations are
124 *not* the same as directly-measured distances. This yields Assertion 2, an
125 alternative to Assertion 1:

**Assertion 2 for a
FLAT SURFACE:
We are FREE to
choose variable
map scale over
the surface.**

126 **Assertion 2. ON A FLAT SURFACE IN SPACE, we are FREE TO CHOOSE a**
127 **global coordinate system for which coordinate separations ARE NOT**
128 **directly-measured distances.**

5.3.3 ■ GLOBAL SPACE METRIC FOR A FLAT SURFACE

130 *Space metric to the rescue.*

How can we predict
measured distances
using arbitrary
coordinates?
Answer: The metric!

131 Einstein tells us that we are free to stretch or contract conventional (in this
132 case Cartesian) coordinates in any way we want. But if we do, then the
133 resulting coordinate separations lose their “immediate metrical meaning;” that
134 is, a coordinate separation between a pair of points no longer predicts the
135 distance we measure between these points. If the coordinate separation can no
136 longer tell us the distance between two points, what can? Our simple question
137 about space on a flat cutting board is a preview of the far more profound
138 question about spacetime with which Einstein struggled: How can we predict
139 the measured wristwatch time τ or the measured ruler distance σ between a
140 pair of events using the differences in *arbitrary* global coordinates between
141 them? The answer was a breakthrough: “The metric!” Here’s the path to that
142 answer, starting with our little cutting board.

Space metric
gives differential ds
from differentials
 dx and dy .

143 Begin by recognizing that very close to any point on the flat surface the
144 coordinate scale is nearly uniform, with a multiplying factor (local map scale)
145 to correct for the local stretching in the x -coordinate. Strictly speaking, the
146 coordinate scale is uniform only vanishingly close to a given point. *Vanishingly*
147 *close?* That phrase instructs us to use the vanishingly small calculus limit:
148 differential coordinate separations. For the coordinates of Figure 3, we find the
149 differential distance ds from a **global space metric** of the form:

$$ds^2 = F(x_{\text{stretch}})dx_{\text{stretch}}^2 + dy_{\text{stretch}}^2 \quad (\text{variable } x\text{-stretch}) \quad (2)$$

150 To repeat, we use the word *global* to emphasize that x is a valid coordinate
151 everywhere across our cutting board covered by the stretched fishnet. In (2),
152 $F(x)$ —actually the square root of $F(x)$ —is the map scale that corrects for the
153 stretch in the horizontal coordinate *differentially close to that value of x* . If
154 $F(x)$ is defined everywhere on the cutting board, however, then equation (2) is
155 also valid at every point on the board.

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Metric works well
LOCALLY, even
with stretched
coordinates.

156 The global space metric is a tremendous achievement. On the right side of
157 metric (2) the function $F(x)$ corrects the squared differential dx_{stretch}^2 to give
158 the correct squared differential distance ds^2 on the left side.

Differential distance
 ds is too small
to measure. . .

159 We have gained a solution to Einstein’s puzzle for the simplified case of
160 differential separations on a flat surface in space. But we seem to have suffered
161 a great loss as well: calculus insists that the differential distance ds predicted
162 by the space metric is vanishingly small. We cannot use our official
163 centimeter-scale ruler to measure a vanishingly small differential distance. How
164 can we possibly predict a measured distance—for example the distance
165 between points P and S on our flat cutting board? We want to predict and
166 then make *real* measurements on *real* flat surfaces!

. . . but we can predict
measured distance
from summed
(integrated) ds .

167 Differential calculus curses us with its stingy differential separations ds ,
168 but integral calculus rescues us. We can sum (“integrate”) differential
169 distances ds along the curve. The result is a predicted *total distance* along the
170 curved path, a prediction that we can verify with a tape measure. As a special
171 case, let’s predict the distance s along the straight horizontal x -axis from point
172 P to point S in Figure 3. Call this distance s_{PS} . “Horizontal” means no
173 vertical, so that $dy = 0$ in equation (2). The distance s_{PS} is then the sum
174 (integral) of $ds = [F(x)]^{1/2} dx$ from $x = 2$ to $x = 10$, where the scale function
175 $[F(x)]^{1/2}$ varies with the value of x :

$$s_{\text{PS}} = \int_{x=2}^{x=10} [F(x_{\text{stretch}})]^{1/2} dx_{\text{stretch}} \quad (\text{horizontal distance: P to S}) \quad (3)$$

176 When we evaluate this integral, we can once again use our official
177 centimeter-scale ruler to verify by direct measurement that the total distance
178 s_{PS} between points P and S predicted by (3) is correct.

179 The example of metric (2) leads to our third important assertion:

**Assertion 3 for a
FLAT SURFACE:**
Metric gives us ds ,
whose integral predicts
measured distance s .

180 **Assertion 3. ON A FLAT SURFACE IN SPACE when using a global**
181 **coordinate system for which coordinate separations ARE NOT**
182 **directly-measured distances, a space metric is REQUIRED to give the**
183 **differential distance ds whose integrated value predicts the measured**
184 **distance s between points.**

5.4 ■ GLOBAL SPACE METRIC FOR A CURVED SURFACE

186 *Squash a spherical map of Earth’s surface onto a flat table? Good luck!*

187 In Sections 5.2 and 5.3, we chose variably-stretched coordinates on a flat
188 surface. Then we corrected the effects of the variable stretching using a metric.
189 This is a cute mathematical trick, but who cares? We are not *forced* to use
190 stretched coordinates on a flat cutting board, so why bother with them at all?
191 To answer these questions, apply our ideas about maps to the curved surface
192 of Earth. Chapter 2 derived a global metric—equation (3), Section 2.3—for
193 the spherical surface of Earth using angular coordinates λ for latitude and ϕ

Section 5.4 global space metric for a curved surface **5-7**

194 for longitude, along with Earth’s radius R . Here we convert that global metric
195 to coordinates x and y :

$$\begin{aligned}
 ds^2 &= R^2 \cos^2 \lambda d\phi^2 + R^2 d\lambda^2 && (0 \leq \phi < 2\pi \text{ and } -\pi/2 \leq \lambda \leq \pi/2) && (4) \\
 &= \cos^2 \left(\frac{R\lambda}{R} \right) (Rd\phi)^2 + (Rd\lambda)^2 && \text{(metric : Earth's surface)} \\
 &= \cos^2 \left(\frac{y}{R} \right) dx^2 + dy^2 && (0 \leq x < 2\pi R \text{ and } -\pi R/2 \leq y \leq \pi R/2)
 \end{aligned}$$

196 On a sphere, we define $y \equiv R\lambda$ and $x \equiv R\phi$ (the latter from the definition of
197 radian measure).

Undistorted flat
maps of Earth
impossible.

198 Compare the third line of (4) with equation (2). The y -dependent
199 coefficient of dx^2 results from the fact that as you move north or south from
200 the equator, lines of longitude converge toward a single point at each pole.
201 That coefficient of dx^2 makes it impossible to cover Earth’s spherical surface
202 with a flat Cartesian map without stretching or compressing the map at some
203 locations.

A curved surface
forces us to use
stretched coordinates.

204 Throughout history, mapmakers have struggled to create a variety of flat
205 projections of Earth’s spherical surface for one purpose or another. But each
206 projection has *some* distortion. *No uniform projection of Earth’s surface can*
207 *be laid on a flat surface without stretching or compression in some locations.* If
208 this is impossible for a spherical Earth with its single radius of curvature, it is
209 certainly impossible for a general curved surface—such as a potato—with
210 different radii of curvature in different locations. In brief, it is impossible to
211 completely cover a curved surface with a single Cartesian coordinate system.
212 (Is a cylindrical surface curved? No; technically it is a flat surface, like a
213 rolled-up newspaper, which Cartesian coordinates can map exactly.) We
214 bypass formal proof and state the conclusion:

**Assertion 4 for a
CURVED SURFACE:
Everywhere-uniform
map scale is
IMPOSSIBLE.**

215 **Assertion 4. ON A CURVED SURFACE IN SPACE, it is IMPOSSIBLE to find a**
216 **global coordinate system for which coordinate separations EVERYWHERE**
217 **on the surface are directly-measured distances.**

Metric required
on curved surface.

218 The dy on the third line of equation (4) is still a directly-measured
219 distance: the differential distance northward from the equator. That is true for
220 a sphere, whose constant R -value allows us to define $y \equiv R\lambda$. But Earth is not
221 a perfect sphere; rotation on its axis results in a slightly-bulging equator.
222 Technically the Earth is an **oblate spheroid**, like a squashed balloon. In that
223 case neither x or y coordinate separations are directly-measured distances.
224 And most curved surfaces are more complex than the squashed balloon.
225 Einstein was right: In most cases coordinate separations *cannot* be
226 directly-measurable distances.

227 No possible uniform map scale over the entire surface of Earth? Then
228 there is an inevitable distinction between a coordinate separation and
229 measured distance. The space metric is no longer just an option, but has
230 become the indispensable practical tool for predicting distances between two
231 points from their coordinate separations.

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Assertion 5 for a CURVED SURFACE: Metric REQUIRED to calculate distance.

Assertion 5. ON A CURVED SURFACE IN SPACE, a global space metric is REQUIRED to calculate the differential distance ds between a pair of adjacent points from their differential coordinate separations.

As before, integrating the differential ds yields a measured total distance s along a path on the curved surface, whose predicted length we can verify directly with a tape measure.

Space summary

SPACE SUMMARY: *On a flat surface in space we can choose Cartesian coordinates, so that the Pythagorean theorem—with no differentials—correctly predicts the distance s between two points far from one another. On a curved surface we cannot. But on any curved surface we can use a space metric to calculate ds between a pair of adjacent points from values of the differential coordinate separations between them. Then we can integrate these differentials ds along a given path in space to predict the directly-measured length s along that path.*

“Connectedness” = topology.

The combination of global coordinates plus the global metric is even more powerful than our summary implies. Taken together, the two describe a curved surface completely. In principle we can use the global coordinates plus the metric to reconstruct the curved surface exactly. (Strictly speaking, the global coordinate system must include information about ranges of its coordinates, ranges that describe its “connectedness”—technical name: its **topology**.)

5.5 ■ GLOBAL SPACETIME METRIC

Visit a neutron star with wristwatch, tape measure—and metric—in your back pocket.

To distorted space add warped t . Result? Trouble for Einstein!

What does all this curved-surface-in-space talk have to do with Einstein’s perplexity during his journey from special relativity to general relativity? As usual, we express the answer as an analogy between a curved surface in space and a curved region of spacetime. Spacetime around a black hole multiplies the complications of the curved surface: not only is space distorted compared with its Euclidean description but the fourth dimension, the t -coordinate, is warped as well. All this complicates our new task, which is to predict our measurement of ruler distance σ or wristwatch time τ between a *pair of events in spacetime*.

Here we simply state, for flat and curved regions of spacetime, five assertions similar to those stated earlier for flat and curved surfaces in space.

Assertion A for FLAT SPACETIME: Everywhere-uniform map scale possible.

Assertion A. IN A FLAT REGION OF SPACETIME, we CAN FIND a global coordinate system in which every coordinate separation IS a directly-measured quantity.

In Chapter 1 we introduced a pair of expressions for flat spacetime called the *interval*, similar to the Pythagorean Theorem for a flat surface. One form of the interval predicts the wristwatch time τ between two events with a timelike

Section 5.5 global spacetime metric 5-9

272 relation. The second form tells us the ruler distance σ between two events with
 273 a spacelike relation:

$$\Delta\tau_{\text{lab}}^2 = \Delta t_{\text{lab}}^2 - \Delta s_{\text{lab}}^2 \quad (\text{flat spacetime, timelike-related events}) \quad (5)$$

$$\Delta\sigma^2 = \Delta s_{\text{lab}}^2 - \Delta t_{\text{lab}}^2 \quad (\text{flat spacetime, spacelike-related events})$$

274 In *flat* spacetime, each space coordinate separation Δs_{lab} and time coordinate
 275 separation Δt_{lab} measured in the laboratory frame can be as small or as great
 276 as we want. On to our second assertion:

**Assertion B for
 FLAT SPACETIME:
 We are free to choose
 a variable map scale
 over the region.**

277 **Assertion B. IN A FLAT REGION OF SPACETIME we are FREE TO CHOOSE**
 278 **a global coordinate system in which coordinate separations**
 279 **ARE NOT directly-measured quantities.**

280 In this case we can choose not only stretched space coordinates but also a
 281 system of scattered clocks that run at different rates. If we choose such a
 282 “stretched” (but perfectly legal) global spacetime coordinate system, the
 283 interval equations (5) are no longer valid, because any of these coordinate
 284 separations may span regions of varying spacetime map scales. So we again
 285 retreat to a differential version of this equation, adding coefficients similar to
 286 that of space metric (2). A simple timelike metric might have the general form:

$$d\tau^2 = J(t, y, x)dt^2 - K(t, y, x)dy^2 - L(t, y, x)dx^2 \quad (6)$$

Spacetime metric
 delivers $d\tau$ from
 differentials dt ,
 dy , and dx .

287 Here each of the coefficient functions J , K , and L may vary with x , y , and t .
 288 (The coefficient functions are not entirely arbitrary: the condition of flatness
 289 imposes differential relations between them, which we do not state here.)
 290 Given such a metric for flat spacetime, we are free to use this metric to
 291 convert differentials of global coordinates (right side of the metric) to
 292 measured quantities (left side of the metric). This leads to our third assertion:

**Assertion C for
 FLAT SPACETIME:
 Variable map scale
 requires metric
 to calculate
 $d\tau$ or $d\sigma$.**

293 **Assertion C. IN A FLAT REGION OF SPACETIME, when we choose a global**
 294 **coordinate system in which coordinate separations are not**
 295 **directly-measured quantities, then a global spacetime metric is REQUIRED**
 296 **to calculate the differential interval, $d\tau$ or $d\sigma$, between two adjacent events**
 297 **using their differential global coordinate separations.**

298 On the other hand, in a region of curved spacetime—analogueous to the
 299 situation on a curved surface in space—we *cannot* set up a global coordinate
 300 system with the same map scale everywhere in the region.

**Assertion D for
 CURVED
 SPACETIME:
 Everywhere-uniform
 map scale is
 IMPOSSIBLE.**

301 **Assertion D. IN A CURVED REGION OF SPACETIME it is IMPOSSIBLE to**
 302 **find a global coordinate system in which coordinate separations**
 303 **EVERYWHERE in the region are directly-measured quantities.**

**Assertion E for
 CURVED
 SPACETIME:
 Metric REQUIRED
 to calculate
 $d\tau$ or $d\sigma$.**

304 **Assertion E. IN A CURVED REGION OF SPACETIME, a global spacetime**
 305 **metric is REQUIRED to calculate the differential interval, $d\tau$ or $d\sigma$, between**
 306 **a pair of adjacent events from their differential global coordinate**
 307 **separations.**

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Spacetime
summary

308 **SPACETIME SUMMARY:** *In flat spacetime we can choose*
 309 *coordinates such that the spacetime interval—with no*
 310 *differentials—correctly predicts the wristwatch time (or the ruler*
 311 *distance) between two events far from one another. In curved*
 312 *spacetime we cannot. But in curved spacetime we can use a*
 313 *spacetime metric to calculate $d\tau$ or $d\sigma$ between adjacent events*
 314 *from the values of the differential coordinate separations between*
 315 *them. Then we can integrate $d\tau$ along the worldline of a particle,*
 316 *for example, to predict the directly-measured time lapse τ on a*
 317 *wristwatch that moves along that worldline.*

“Connectedness”
= topology.

318 As in the case of the curved surface, a complete description of a spacetime
 319 region results from the combination of global spacetime coordinates and global
 320 metric—along with the connectedness (topology) of that region. For example,
 321 we can in principle use Schwarzschild’s global coordinates and his metric to
 322 answer all questions about spacetime around the black hole.

5.6. ■ ARE WE SMARTER THAN EINSTEIN?

324 *Did Einstein fumble his seven-year puzzle?*

Einstein’s struggle

325 We have now solved the puzzle that troubled Einstein for the seven years it
 326 took him to move from special relativity to general relativity. Surely Einstein
 327 would understand in a few seconds the central idea behind cutting-board
 328 examples in Figures 1 through 3. However, the extension of this idea to the
 329 four dimensions of spacetime was not obvious while he was struggling to create
 330 a brand new theory of spacetime that is curved, for example, by the presence
 331 of Earth, Sun, neutron star, or black hole. Is it any wonder that during this
 332 intense creative process Einstein took a while to appreciate the lack of
 333 “immediate metrical meaning” of differences in global coordinates?

One co-author
didn’t get it.

334 It is embarrassing to admit that one co-author of this book (EFT)
 335 required more than two years to wake up to the basic idea behind the present
 336 chapter, even though this central result is well known to every practitioner of
 337 general relativity. Even now EFT continues to make Einstein’s original
 338 mistake: He confuses global coordinate separations with measured quantities.

339 You too will probably find it difficult to avoid Einstein’s mistake.

**FIRST ADVICE
FOR THE ENTIRE
BOOK**

340 **FIRST STRONG ADVICE FOR THIS ENTIRE BOOK**
 341 **To be safe, it is best to assume that global coordinate**
 342 **separations do not have any measured meaning. Use global**
 343 **coordinates only with the metric in hand to convert a**
 344 **mapmaker’s fantasy into a surveyor’s reality.**

345 Global coordinate systems come and go; wristwatch ticks and ruler lengths are
 346 forever!

Section 5.7 Local Measurement in a Room Using a Local Frame 5-11

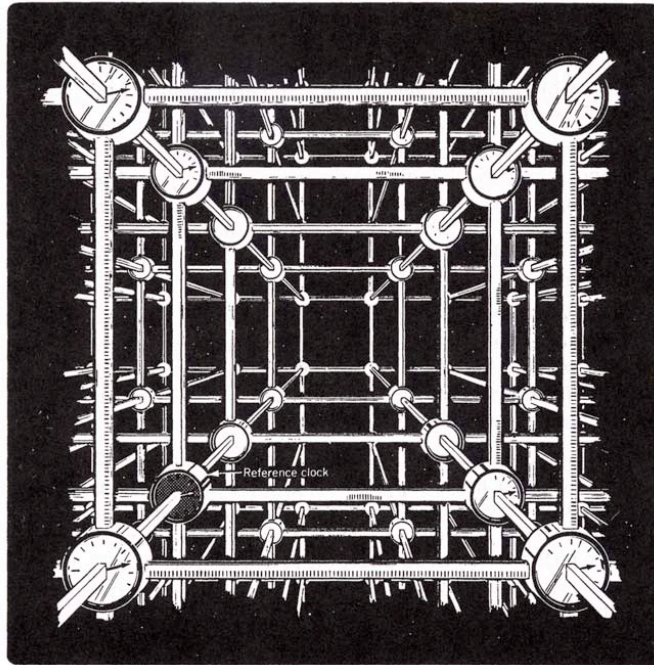


FIGURE 4 On a flat patch we build an inertial Cartesian latticework of meter sticks with synchronized clocks. This is an instrumented room (defined in Section 3.10), on which we impose a local coordinate system—a frame—limited in both space and time. Limited by what? Limited by the sensitivity to curvature of the measurement we want to carry out in that local inertial frame.

5.7 ■ LOCAL MEASUREMENT IN A ROOM USING A LOCAL FRAME

348 *Where we make real measurements*

349 *Of all theories ever conceived by physicists, general relativity*
 350 *has the simplest, most elegant geometric foundation. Three*
 351 *axioms: (1) there is a global metric; (2) the global metric is*
 352 *governed by the Einstein field equations; (3) all special*
 353 *relativistic laws of physics are valid in every local inertial*
 354 *frame, with its (local) flat-spacetime metric.*

355 —Misner, Thorne, and Wheeler (edited)

356 *No phenomenon is a physical phenomenon until it is an*
 357 *observed phenomenon.*

358 —John Archibald Wheeler

5-12 Chapter 5 Global and Local Metrics

Spacetime is
locally flat
almost everywhere.

359 Special relativity assumes that a measurement can take place throughout an
360 unlimited space and during an unlimited time. Spacetime curvature denies us
361 this scope, but general relativity takes advantage of the fact that almost
362 everywhere on a curved surface, space is locally flat; remember “flat Kansas”
363 in Figure 3, Section 2.2. Wherever spacetime is smooth—namely close to every
364 event except one on a singularity—general relativity permits us to approximate
365 the gently curving stage of spacetime with a local inertial frame. This section
366 sets up the command that we shout loudly everywhere in this book:

**SECOND ADVICE
FOR THE ENTIRE
BOOK**

SECOND STRONG ADVICE FOR THIS ENTIRE BOOK

**In this book we choose to make every measurement in a local
inertial frame, where special relativity rules.**

370 We ride in a *room*, a physical enclosure of fixed spatial dimensions (defined in
371 Section 3.10) in which we make our measurements, each measurement limited
372 in local time. We assume that the room is sufficiently small—and the duration
373 of our measurement sufficiently short—that these measurements can be
374 analyzed using special relativity. This assumption is correct on a *patch*.

Definition:
patch

DEFINITION 1. Patch

375 A **patch** is a spacetime region purposely limited in size and duration so
376 that curvature (tidal acceleration) does not noticeably affect a given
377 measurement.
378

379 *Important:* The definition of patch depends on the scope of the measurement
380 we wish to make. Different measurements require patches of different extent in
381 global coordinates. On this patch we lay out a local coordinate system, called
382 a *frame*.

Definition:
frame

DEFINITION 2. Frame

383 A **frame** is a local coordinate system of our choice installed onto a
384 spacetime patch. This local coordinate system is limited to that single
385 patch.
386

387 Among all possible local frames, we choose one that is inertial:

Definition:
inertial frame

DEFINITION 3. Inertial frame

388 An **inertial** or **free-fall frame** is a local coordinate system—typically
389 Cartesian spatial coordinates and readings on synchronized clocks
390 (Figure 4)—for which special relativity is valid. In this book we report
391 every measurement using a local inertial frame.
392

393 In general relativity every inertial frame is local, that is limited in spacetime
394 extent. Spacetime curvature precludes a global inertial frame.

395 Who makes all these measurements? The observer does:

Definition:
observer

DEFINITION 4. Observer = Inertial Observer

396 An **observer** is a person or machine that moves through spacetime
397 making measurements, each measurement limited to a local inertial
398 frame. Thus an observer moves through a series of local inertial frames.
399

Section 5.7 Local Measurement in a Room Using a Local Frame 5-13

Box 1. What moves?

A story—impossible to verify—recounts that at his trial by the Inquisition, after recanting his teaching that the Earth moves around the Sun, Galileo muttered under his breath, “Eppur si muove,” which means “And yet it moves.”

According to special and general relativity, what moves? We quickly eliminate coordinates, events, patches, frames, and spacetime itself:

- Coordinates do not move. Coordinates are number-labels that locate an event; it makes no sense to say that a coordinate number-label moves.
- An event does not move. An event is completely specified by coordinates; it makes no sense to say that an event moves.
- A flat patch does not move. A flat patch is a region of spacetime completely specified by a small, specific range of map coordinates; it makes no sense to say that a range of map coordinates moves.
- A local frame does not move. A frame is just a set of local coordinates—numbers—on a patch; it makes no sense to say that a set of local coordinates move.
- Spacetime does not move. *Spacetime* labels the arena in which events occur; it makes no sense to say that a label moves.

You cannot drop a frame. You cannot release a frame. You cannot accelerate a frame. It makes no sense to say that you

can even move a frame. You cannot carry a frame around, any more than you can move a postal zip code region by carrying its number around.

What does move? Stones and light flashes move; observers and rooms move. Whatever moves follows a worldline or worldtube through spacetime.

- A stone moves. Even a stone at rest in a shell frame moves on a worldline that changes global t -coordinate.
- A light flash moves; it follows a *null worldline* along which both r and ϕ can change, but $\Delta\tau = 0$.
- An observer moves. Basically the observer is an instrumented stone that makes measurements as it passes through local frames.
- A room moves. Basically a room is a large, hollow stone.

Why do almost all teachers and special relativity texts—including our own physics text *Spacetime Physics* and Chapter 1 of this book!—talk about “laboratory frame” and “rocket frame”? Because it is a tradition; it leads to no major confusion in special relativity. But when we specify a local rain frame in curved spacetime using (for example) a small range of Schwarzschild global coordinates t , r , and ϕ , then it makes no sense to say that this local rain frame—this range of global coordinates—moves. Stones move; coordinates do not.

400 The observer, riding in a room (Definition 3, Section 3.10), makes a sequence
401 of measurements as she passes through a series of local inertial frames. As it
402 passes through spacetime, the room drills out a *worldtube* (Definition 4,
403 Section 3.10). Figure 5 shows such a worldtube.



405 **Objection 1.** In Definition 4 you say that the observer moves through a
406 series of local inertial frames. But doesn't a shell observer stay in one local frame?



408 No! The shell observer is *not* stationary in the global t -coordinate, but
409 moves along a worldline (Figure 5). By definition, a local inertial frame
410 spans a given lapse of frame time Δt_{shell} , as well as a given frame volume
411 of space. In Figure 5 the first measurement takes place in Frame #1. When
412 the first measurement is over, global t/M has elapsed and the observer
413 leaves Frame #1. A second measurement takes place in Frame #2. The
414 range of r/M and ϕ global coordinates of Frame #2 may be the same as
415 in Frame #1. The shell observer makes a series of measurements, each
measurement in a *different* local inertial frame.

5-14 Chapter 5 Global and Local Metrics

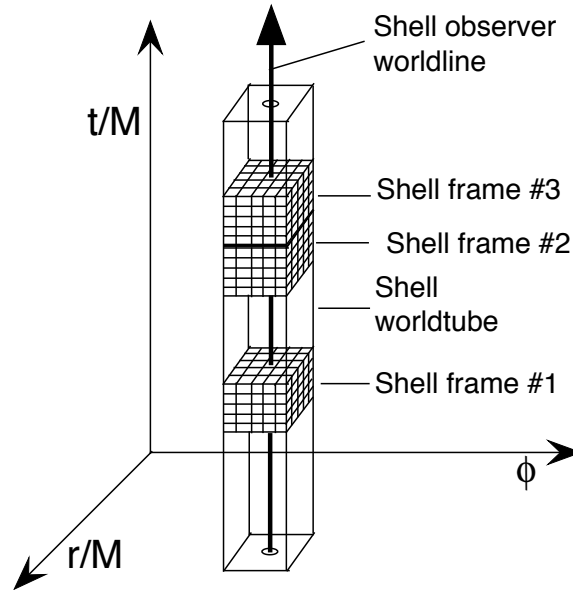


FIGURE 5 A shell worldtube (Section 3.10) that embraces three sample shell frames outside the event horizon. The shell observer carries out an experiment while passing through Frame #1 in the figure. He may then repeat the same experiment or carry out another one in Frames #2 and #3 at greater t coordinates. For simplicity each shell frame is shown as a cube. Each frame is *nailed* to a particular event at map coordinates $(\bar{t}/M, \bar{r}/M, \bar{\phi})$.

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430

Comment 1. Euclid’s curved space vs. Einstein’s curved spacetime

Figure 5 shows a case in which a shell observer stands at constant r and ϕ coordinates while he passes, with changing map t -coordinate, through a series of local frames, each frame defined over a range of r , ϕ , and t -coordinates. Figure 5 in Section 2.2 showed the Euclidean space analogy in which a traveler passes across a series of local flat maps on her way along the curved surface of Earth from Amsterdam to Vladivostok. Each of these flat maps is essentially a set of numbers: local space coordinates we set up for our own use. Similarly, each local frame of Figure 5 is just a set of numbers, local space and time coordinates we set up for our own use. A frame is not a room; a frame does not fall; a frame does not move; it is just a set of numbers—coordinates—that we use to report results of local measurements (Box 1). Figure 5 shows multiple shell frames, two of them adjacent in t -coordinate. Shell frames can also overlap, analogous to the overlap of adjacent local Euclidean maps in Figure 5, Section 2.2.



431

Objection 2. Whoa! Can a frame exist inside the event horizon?



432
433
434

Definitely. A frame is a set of coordinates—numbers! Numbers are not things; they can exist anywhere, even inside the event horizon. In contrast, the diver in her unpowered spaceship is a “thing.” Even inside the event

Section 5.7 Local Measurement in a Room Using a Local Frame **5-15**

435 horizon the she-thing continues to pass through a series of local frames.
 436 Inside the event horizon, however, she is doomed to continue to the
 437 singularity as her wristwatch ticks inevitably forward.

438 By definition, we use the flat-spacetime metric to analyze events in a local
 439 inertial frame. We write this metric for a local shell frame in a rather strange
 440 form which we then explain:

$$\Delta\tau^2 \approx \Delta t_{\text{shell}}^2 - \Delta y_{\text{shell}}^2 - \Delta x_{\text{shell}}^2 \quad (7)$$

Local flat spacetime
 → local inertial metric.

441 Choose the increment Δy_{shell} to be vertical (radially outward), and the Δx_{shell}
 442 increment to be horizontal (tangential along the shell).

443 Instead of an equal sign, equation (7) has an approximately equal sign.
 444 This is because near a black hole or elsewhere in our Universe there is always
 445 *some* spacetime curvature, so the equation cannot be exact. The upper case
 446 Delta, Δ , also has a different meaning in (7) than in special relativity. In
 447 special relativity (Section 1.10) we used Δ to emphasize that in flat spacetime
 448 the two events whose separation is described by (7) can be very far apart in
 449 space or time and their coordinate separations still satisfy (7) with an equals
 450 sign. In equation (7), however, both events must lie in the local frame within
 451 which the coordinate separations Δt_{shell} , Δy_{shell} , and Δx_{shell} are defined.

Connect global
 and local metrics

452 How do we connect local metric (7) to the Schwarzschild global metric? We
 453 do this by considering a local frame over which global coordinates t , r , and ϕ
 454 vary only a little. Small variation allows us to replace r with its average value
 455 \bar{r} over the patch and write the Schwarzschild metric in the approximate form:

$$\Delta\tau^2 \approx \left(1 - \frac{2M}{\bar{r}}\right) \Delta t^2 - \frac{\Delta r^2}{\left(1 - \frac{2M}{\bar{r}}\right)} - \bar{r}^2 \Delta\phi^2 \quad (\text{spacetime patch}) \quad (8)$$

456 Equation (8) is no longer global. The value of \bar{r} depends on *where* this patch is
 457 located, leading to a local wristwatch time lapse $\Delta\tau$ for a given change Δr .
 458 The value of \bar{r} also affects how much $\Delta\tau$ changes for a given change in Δt or
 459 $\Delta\phi$. Equation (8) is approximately correct only for limited ranges of Δt , Δr ,
 460 and $\Delta\phi$. In contrast to the differential global Schwarzschild metric, (8) has
 461 become a *local* metric. That is the bad news; now for some good news.

Local shell
 coordinates

462 Coefficients in (8) are now constants. So simply equate corresponding
 463 terms in the equations (8) and (7):

$$\Delta t_{\text{shell}} \equiv \left(1 - \frac{2M}{\bar{r}}\right)^{1/2} \Delta t \quad (9)$$

$$\Delta y_{\text{shell}} \equiv \left(1 - \frac{2M}{\bar{r}}\right)^{-1/2} \Delta r \quad (10)$$

$$\Delta x_{\text{shell}} \equiv \bar{r} \Delta\phi \quad (11)$$

464

5-16 Chapter 5 Global and Local Metrics



FIGURE 6 Flat triangular segments on the surface of a Buckminster Fuller geodesic dome. A single flat segment is the geometric analog of a locally flat patch in curved spacetime around a black hole; we add local coordinates to this patch to create a local frame. (Figure 3 in Section 3.3 shows a complete geodesic dome with six-sided segments.)

465 Substitutions (9), (10), and (11) turn approximate metric (8) into
 466 approximate metric (7), which is—approximately!—the metric for flat
 467 spacetime. *Payoff:* We can use special relativity analyze local measurements
 468 and observations in a shell frame near a black hole.



469 **Objection 3.** *What is the meaning of equations (9) through (11)? What do*
 470 *they accomplish? How do I use them?*



471 These equations are fundamental to our application of general relativity to
 472 Nature. On the left are measured quantities: Δt_{shell} is the measured shell
 473 time between two events, Δy_{shell} and Δx_{shell} are their measured
 474 separations in local space shell coordinates. These equations, plus the
 475 local metric (7) unleash special relativity to analyze local measurements in
 476 curved spacetime. In this book we choose to report every measurement
 477 using a local inertial frame.

478 **Comment 2. Left-handed ($\Delta y_{\text{shell}}, \Delta x_{\text{shell}}$) local space coordinates**

479 We find it convenient to have the local Δy_{shell} point along the outward global
 480 Schwarzschild r -coordinate and the local Δx_{shell} point along the direction of
 481 increasing angle $\Delta \phi$, on the $[r, \phi]$ slice through the center of the black hole. This
 482 earns the label **left-handed** for the space part of these local coordinates, which
 483 differs from most physics usage.

484 Figure 6 shows a geometric analogy to a local flat patch: the local flat
 485 plane segments on the curved exterior surface of a Buckminster Fuller geodesic
 486 dome.

Section 5.7 Local Measurement in a Room Using a Local Frame **5-17**

Summary:
local notation

487 We summarize here the new notation introduced in equation (7) and
488 equations (9) through (11):

- | | | |
|-----------|---|------|
| \approx | equality is not exact, due to residual curvature
and coordinate conversion (Section 5.8) | (12) |
| Δ | coordinate separation of two events within the local frame | (13) |
| \bar{r} | average r -coordinate across the patch | (14) |

489



490 **Objection 4.** *How large—in Δt_{shell} , Δy_{shell} , and Δx_{shell} —am I allowed*
491 *to make my local inertial frame? If you cannot tell me that, you have no*
492 *business talking about local inertial frames at all!*



493 You are right, but the answer depends on the measurement you want to
494 make. Some measurements are more sensitive than others to tidal
495 accelerations; each measurement sets its own limit on the maximum extent
496 of the local frame in order that it remain inertial for that measurement. If
497 the local frame is too extended in both the Δx_{shell} and Δy_{shell} directions
498 to be inertial, then it may be necessary to restrict the frame time Δt_{shell}
499 during which it is carried out. *Result:* Different measurements prevent us
500 from setting a universal, one-fits-all size for a local inertial frame. Sorry.



501 **Objection 5.** *What happens when we choose the size of the local frame*
502 *too great, so the frame is no longer inertial? How do we know when we*
503 *exceed this limit?*



504
505 There are two answers to these questions. The first is spacetime
506 curvature: Section 1.11 entitled Limits on Local Inertial Frames describes
507 this situation using Newtonian intuition. If two stones initially at rest near
508 Earth are separated radially, the stone nearer the center accelerates
509 downward at a faster rate. If two stones, initially at rest, are separated
510 tangentially, their accelerations do not point in the same directions, Figure
511 8, Section 1.11. These effects go under the name *tidal accelerations*,
512 because ocean tides on Earth result from differences in gravitational
513 attraction of Moon and Sun at different locations on Earth. If these tidal
514 accelerations exceed the achievable accuracy of an experiment, then the
515 local frame cannot be considered inertial.

516 The second answer to the question results from the global coordinate
517 system itself and the process by which the local inertial frame is derived
518 from it. This part is treated in Section 5.8.

5-18 Chapter 5 Global and Local Metrics

Box 2. Who cares about local inertial frames?

Sections 5.1 through 5.6 make no reference to local inertial frames. Nor are they necessary. The left side of the global metric predicts differentials $d\tau$ or $d\sigma$ (or $d\tau = d\sigma = 0$) between adjacent events. Of course we cannot measure differentials directly, because they are, by definition, vanishingly small. We need to integrate them; for example we integrate wristwatch time along the worldline of a stone. The resulting predictions are sufficient to analyze results of

any experiment or observation. No local inertial frames are required, and most general relativity texts do not use them.

Our approach in this book is different; we *choose* to predict, carry out, and report all measurements with respect to a local inertial frame. *Payoff*: In each local inertial frame we can unleash all the concepts and tools of special relativity, such as directly-measured space and time coordinate separations, measurable energy and momentum of a stone; Lorentz transformations between local inertial frames.

519 We may report local-frame measurements in the calculus limit, as we often
 520 do on Earth. For example, we record the motion of a light flash in our local
 521 inertial frame. Rewrite (7) as

$$\Delta\tau^2 \approx \Delta t_{\text{shell}}^2 - \Delta s_{\text{shell}}^2 \tag{15}$$

522 where Δs_{shell} is the distance between two events measured in the shell frame.
 523 Now let a light flash travel directly between the two events in our local frame.
 524 For light $\Delta\tau = 0$ and we write its speed (a positive quantity) as:

$$\left| \frac{\Delta s_{\text{shell}}}{\Delta t_{\text{shell}}} \right| \approx 1 \quad (\text{speed of light flash}) \tag{16}$$

Can take calculus limit in local frame.

525 We may want to know the instantaneous speed, which requires the calculus
 526 limit. In this process all increments shrink to differentials and $\bar{r} \rightarrow r$. For the
 527 light flash the result is:

$$v_{\text{shell}} \equiv \lim_{\Delta t_{\text{shell}} \rightarrow 0} \left| \frac{\Delta s_{\text{shell}}}{\Delta t_{\text{shell}}} \right| = 1 \quad (\text{instantaneous light flash speed}) \tag{17}$$

528 Equation (17) reassures us that the speed of light is exactly one when
 529 measured in a local shell frame at any r (outside the event horizon, where
 530 shells can be constructed). The measured speed of a stone is always less than
 531 unity:

$$v_{\text{shell}} \equiv \lim_{\Delta t_{\text{shell}} \rightarrow 0} \left| \frac{\Delta s_{\text{shell}}}{\Delta t_{\text{shell}}} \right| < 1 \quad (\text{instantaneous stone speed}) \tag{18}$$

5.8. THE TROUBLE WITH COORDINATES

533 *Coordinates, as well as spacetime curvature, limit accuracy.*

Can use global metric exclusively.

534 We need global coordinates and cannot apply general relativity without them.
 535 Only global coordinates can connect widely separated local inertial frames in

Section 5.8 The Trouble with Coordinates 5-19

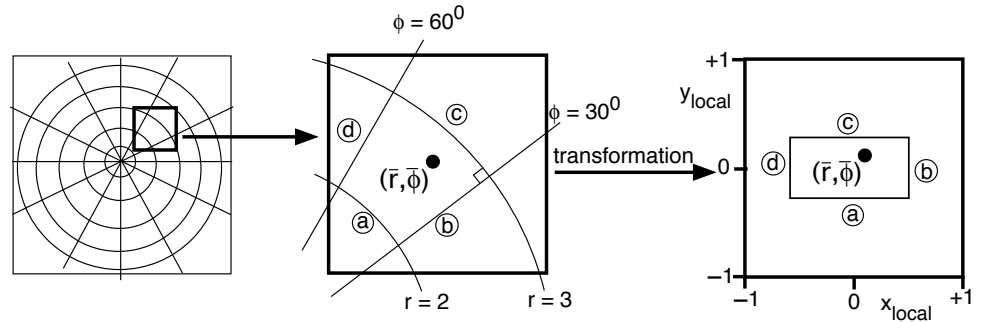


FIGURE 7 Inaccuracies due to polar coordinates on a flat sheet of paper. Coordinates in the middle frame are curved.

We choose to use local coordinates.

Approximation due to coordinate conversion

536 which we make measurements. Indeed, we can choose to use only global
 537 coordinates to apply general relativity (Box 2). Instead, in this book we *choose*
 538 to design and carry out measurements in a local inertial frame in order to
 539 unleash the power and simplicity of special relativity. In this process we fix
 540 average values of global coordinates to make constant the coefficients in the
 541 global metric. This allows us to write down the relation between global and
 542 local coordinates, equations (9) through (11), in order to generate a local flat
 543 spacetime metric (7).

544 But our choice has a cost that has nothing to do with spacetime
 545 curvature, illustrated by analogy to a flat geometric surface in Figure 7. The
 546 left frame shows polar coordinates laid out on the entire flat sheet. Choose a
 547 small area of the sheet (expanded in the second frame). That small area is, a
 548 *patch* (Definition 1) with a small section of *global* coordinates superimposed.
 549 This is a *frame* (Definition 2) whose local coordinate system is derived from
 550 global coordinates. The third frame shows Cartesian coordinates that cover
 551 the same patch, converting it to a local Cartesian frame, analogous to an
 552 inertial frame (Definition 3). What is the relation between the second frame
 553 and the third frame?

554 The exact differential separation between adjacent points is

$$ds^2 = dr^2 + r^2 d\phi^2 \tag{19}$$

555 In order to provide some “elbow room” to carry out local measurements on
 556 our small patch, we expand from differentials to small increments with the
 557 approximations:

$$\begin{aligned} \Delta s^2 &\approx \Delta r^2 + \bar{r}^2 \Delta \phi^2 \\ &\approx \Delta x^2 + \Delta y^2 \end{aligned} \tag{20}$$

Approximate due to (1) residual curvature plus (2) coordinate conversion.

558 Because of the average \bar{r} due to curved coordinates, equation (20) is not exact.
 559 The approximation of this result has nothing to do with curvature, since the
 560 surface in the left panel is flat. A similar inexactness haunts the relation

5-20 Chapter 5 Global and Local Metrics

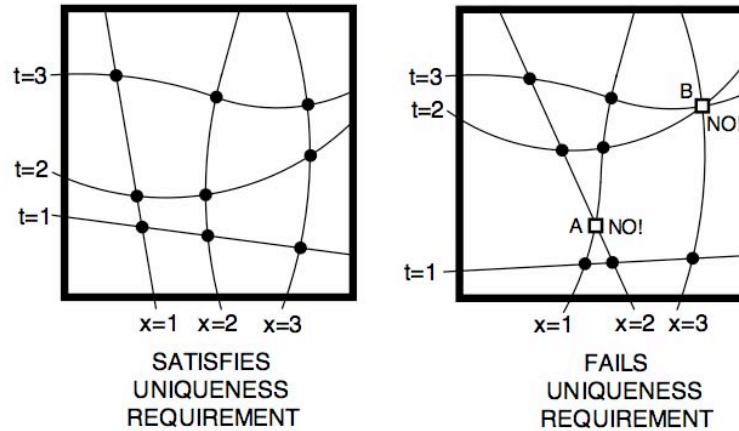


FIGURE 8 Left panel. Example of global coordinates that satisfy the uniqueness requirement: every event shown (filled circles) has a unique value of x and t . Right panel: Example of a global coordinate system that fails to satisfy the uniqueness requirement; Event A has two x -coordinates: $x = 1$ and $x = 2$; Event B has two t -coordinates: $t = 2$ and $t = 3$.

561 between global and local coordinates in equations (9) through (11). These
 562 equations are approximate for two reasons: (1) the residual curvature of
 563 spacetime across the local frame and (2) the conversion between global and
 564 local coordinates. In this book we emphasize the first of these, but the second
 565 is ever-present.

5.9 ■ REQUIREMENTS OF GLOBAL COORDINATE SYSTEMS

567 *Which coordinate systems can we use in a global metric?*

Some restrictions
 on global coordinates

568 Thus far we have put no restrictions on global coordinate systems for global
 569 metrics in general relativity. The basic requirements are a global coordinate
 570 system that (a) uniquely specifies the spacetime location of every event, and
 571 (b) when submitted to Einstein's equations results in a global metric. Here are
 572 some technical requirements, quoted from advanced theory without proof.

UNIQUENESS REQUIREMENT

Unique set of
 coordinates
 for each event

573 The global coordinate system must provide a unique set of coordinates for each
 574 separate event in the spacetime region under consideration.
 575

576 The uniqueness requirement seems reasonable. A set of global coordinates, for
 577 example t, r, ϕ , must allow us to distinguish any given event from every other
 578 event. That is, no two distinct events can have every global coordinate the
 579 same; nor can any given event be labelled by more than one set of coordinates.
 580 The left panel in Figure 8 shows an example of global coordinates that satisfy
 581 the uniqueness requirement; the right panel shows an example of global
 582 coordinates that fails this requirement.

Box 3. Find a particular local inertial frame.

How can we locate and label a particular local inertial frame on a shell around a black hole?

Ask a simpler question: How do we label and find one particular flat triangular surface on a Buckminster Fuller geodesic dome (Figure 6)? One way is simply to number each flat surface: triangle #523 next to triangle #524 next to triangle #525. Carry out this procedure for every flat triangle on the geodesic dome. The result is a huge catalog that we must consult to locate a given local flat segment on these nested Buckminster Fuller geodesic domes.

We could use a similar sequential numbering scheme to label and find a local inertial shell frame around a black hole,

sequential in both space and time. But we already have a simpler way to index a single local inertial frame:

Equations (9) through (11) provide a much simpler indexing scheme: the average values \bar{t} , \bar{r} , and $\bar{\phi}$. Average \bar{r} gives us the shell, average $\bar{\phi}$ locates the position of the local frame along the shell, and average \bar{t} tells us the global t -coordinate of the frame at that location—local in time as well as space. Three numbers, for example \bar{t} , \bar{r} , and $\bar{\phi}$, specify precisely the local inertial shell frame in spacetime surrounding a black hole.

583 In addition to the uniqueness requirement, we must be able to set up a
 584 local inertial frame everywhere around the black hole (except on its singularit.
 585 To allow this possibility, we add the second, smoothness requirement:

SMOOTHNESS REQUIREMENT

Smooth
 coordinates

586
 587 The coordinates must vary smoothly from event to neighboring event. In practice,
 588 this means there must be a differentiable coordinate transformation that takes
 589 the global metric to a local inertial metric (except on a physical singularity).

Comment 3. The (almost) complete freedom of general relativity

590 There are an unlimited number of valid global coordinate systems that describe
 591 spacetime around a stable object such as a star, white dwarf, neutron star, or
 592 black hole (Box 3 in Section 7.5). Who chooses which global coordinate system
 593 to use? We do!

594
 595 Near every event (except on a singularity) there are an unlimited number of
 596 possible local inertial frames in an unlimited number of relative motions. Who
 597 chooses the single local frame in which to carry out our next measurement? We
 598 do!

599 Nature has no interest whatsoever in which global coordinates we choose or
 600 how we derive from them the local inertial frames we employ to report our
 601 measurements and to check our predictions. Choices of global coordinates and
 602 local frames are (almost) completely free human decisions. Welcome to the wild
 603 permissiveness of general relativity!

5.10. ■ EXERCISES

5.1. Rotation of vertical

605
 606 The inertial metric (7) assumes that the Δx_{shell} and Δy_{shell} are both
 607 straight-line separations that are perpendicular to one another. How many
 608 kilometers along a great circle must you walk before both the horizontal and
 609 vertical directions “turn” by one degree

5-22 Chapter 5 Global and Local Metrics

- 610 A. on Earth.
 611 B. on the Moon (radius 1 737 kilometers).
 612 C. on the shell at map coordinate $r = 3M$ of a black hole of mass five
 613 times that of our Sun.

614 **5.2. Time warping**

615 In a given global coordinate system, two identical clocks stand at rest on
 616 different shells directly under one another, the lower clock at map coordinate
 617 r_L , the higher clock at map coordinate r_H . By *identical clocks* we mean that
 618 when the clocks are side by side the measured shell time between sequential
 619 ticks is the same for both. When placed on shells of different map radii, the
 620 measured time lapses between adjacent ticks are $\Delta t_{\text{shell H}}$ and $\Delta t_{\text{shell L}}$,
 621 respectively.

- 622 A. Find an expression for the fractional measured time difference f
 623 between the shell clocks, defined as:

$$f \equiv \frac{\Delta t_{\text{shell H}} - \Delta t_{\text{shell L}}}{\Delta t_{\text{shell L}}} \quad (21)$$

624 This expression should depend on only the map r -values of the two
 625 clocks and on the mass M of the center of attraction.

- 626 B. Fix r_L of the lower shell clock. For what higher r_H -value does the
 627 fraction f have the greatest magnitude? Derive the expression f_{max} for
 628 this maximum fractional magnitude.
- 629 C. Evaluate the numerical value of the greatest magnitude f_{max} from Item
 630 B when r_L corresponds to the following cases:
- 631 (a) Earth's surface (numerical parameters inside front cover)
 632 (b) Moon's surface (radius 1 737 kilometers, mass 5.45×10^{-5} meters)
 633 (c) on the shell at $r_L = 3M$ of a black hole of mass $M = 5M_{\text{Sun}}$ (Find
 634 the value of M_{Sun} inside front cover)
- 635 D. Find the higher map coordinate r_H at which the fractional difference in
 636 clock rates is 10^{-10} for the cases in Item C.
- 637 E. For case (c) in item C, what is the directly-measured distance between
 638 the shell clocks?
- 639 F. What is the value of f_{max} in the limit $r_L \rightarrow 2M$? What is the value of f
 640 in the limit $r_L \rightarrow 2M$ and $r_H = 2M(1 + \epsilon)$, where $0 < \epsilon \ll 1$. What
 641 does this result say about the ability of a light flash to move outward
 642 from the event horizon?
- 643 G. Which items in this exercise have different answers when the upper
 644 clock and the lower clock do *not* lie on the same radial line, that is
 645 when the upper clock is *not* directly above the lower clock?

646 5.3. Diving inertial frame

647 Think of a local inertial frame constructed in a free capsule that dives past a
648 local shell frame with local radial velocity v_{rel} measured by the shell observer.
649 Use Lorentz transformations from Chapter 1 to find expressions similar to
650 equations (9) through (11) that give coordinate increments Δt_{dive} , Δy_{dive} , and
651 Δx_{dive} between a pair of events in the diving frame in terms of \bar{r} , v_{rel} , and
652 global coordinate increments Δt , Δr , and $\Delta \phi$.

653 5.4. Tangentially moving inertial frame

654 Think of a local inertial frame constructed in a capsule that moves
655 instantaneously in a tangential direction with tangential speed v_{rel} measured
656 by the shell observer. Use Lorentz transformations from Chapter 1 to find
657 expressions similar to equations (9) through (11) that give coordinate
658 increments Δt_{tang} , Δy_{tang} , and Δx_{tang} between a pair of events in the
659 tangentially-moving frame in terms of \bar{r} , v_{rel} , and global coordinate increments
660 Δt , Δr , and $\Delta \phi$.

5.11 ■ REFERENCES

- 662 Albert Einstein quotes from “Autobiographical Notes,” in *Albert Einstein:*
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- 665 Misner, Thorne, and Wheeler quote from Charles W. Misner, Kip S. Thorne,
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- 668 Wheeler on a phenomenon: Quoted in Robert J. Scully, *The Demon and the*
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